### IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

LG.PHILIPS LCD CO., LTD.,	)	
	)	
Plaintiff,	)	
	)	C.A. No. 05-292 (JJF)
V.	)	
	)	Hon. Joseph J. Farnan, Jr.
TATUNG COMPANY;	)	•
TATUNG COMPANY OF AMERICA, INC.;	)	
CHUNGHWA PICTURE TUBES, LTD.; AND	)	
VIEWSONIC CORP.,	)	
	)	
Defendants.	)	

#### NOTICE OF SERVICE OF SUBPOENA

TO: Richard D. Kirk
The Bayard Firm
222 Delaware Avenue, Suite 900
P.O. Box 25130
Wilmington, DE 19899

Gaspare J. Bono Matthew T. Bailey Andrew J. Park Adrian Mollo McKenna Long & Aldridge LLP

1900 K Street, NW Washington, DC 20006

PLEASE TAKE NOTICE that pursuant to Rule 45 of the Federal Rules of Civil Procedure, Defendant Chunghwa Picture Tubes, Ltd. will seek the production of documents from non-party John T. Winburn on Thursday, July 6, 2006 at 9:00 a.m. at Howrey LLP, 2020 Main Street, Suite 1000, Irvine, California 92614-8200. Mr. Winburn will hereby be required to produce for inspection and copying all documents set forth in Exhibit A to the attached subpoena.

Further, PLEASE TAKE NOTICE that pursuant to Rule 45 of the Federal Rules of Civil Procedure, Defendant Chunghwa Picture Tubes, Ltd. will take the deposition by oral examination of non-party John T. Winburn on Thursday, July 6, 2006 at 9:00 a.m. at Howrey LLP, 2020 Main Street, Suite 1000, Irvine, California 92614-8200. The deposition will be taken before a Notary Public or court reporter, duly authorized to administer oaths and transcribe the testimony of the deponent. The deposition will be videotaped and will continue from day to day

until completed or adjourned by the Court or stipulated by the parties. The subjects covered in the deposition will include the subjects listed on Exhibit A attached to the subpoena.

OF COUNSEL: Christine A. Dudzik Thomas W. Jenkins HOWREY LLP 321 North Clark Street, Suite 3400 Chicago, Illinois 60610 (312) 595-1239

Teresa M. Corbin Glenn W. Rhodes HOWREY LLP 525 Market Street, Suite 3600 San Francisco, CA 94105 (415) 848-4900

Dated: June 26, 2006

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Attorneys for Defendants/Counterclaimants
Chunghwa Picture Tubes, Ltd.; Tatung
Company; Tatung Company of America, Inc.;

and Viewsonic Corporation

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SAO88 (Rev. 1/94) Subpoent in a Civil Case

## Issued by the UNITED STATES DISTRICT COURT

UNITED STATES DISTRICT COURT						
Central	DISTRICT OF		California			
LG. Philips LCD Co., Ltd. V.	5	SUBPOENA IN A	A CIVIL CASE			
Tatung Company, Tatung Company of Americ Chunghwa Picture Tubes, Ltd., and Viewsonic		Case Number:1 05	-292 (JJF) D. Del)			
TO: John T. Winburn  BSH Home Appliances Corporation  5551 McFadden Ave  Huntington Beach, CA 92649  ☐ YOU ARE COMMANDED to appear in testify in the above case.	he United States District	court at the place, d	ate, and time specified below to			
PLACE OF TESTIMONY			COURTROOM			
		,	DATE AND TIME			
YOU ARE COMMANDED to appear at t in the above case.	he place, date, and time sp	becified below to tes	tify at the taking of a deposition			
PLACE OF DEPOSITION 2020 Main Street. Suite 10	000, Irvine, CA 92614-8200		DATE AND TIME 7/6/2006 9:00 am			
YOU ARE COMMANDED to produce are place, date, and time specified below (list		copying of the follow	ving documents or objects at the			
PLACE 2020 Main Street, Suite 1000, Irvine, C	CA 92614-8200		DATE AND TIME 7/6/2006 9:00 am			
☐ YOU ARE COMMANDED to permit ins	spection of the following	premises at the date	and time specified below.			
PREMISES	No. 10 Control of Section 1997		DATE AND TIME			
Any organization not a party to this suit that is directors, or managing agents, or other persons w the matters on which the person will testify. Federal	ho consent to testify on its	behalf, and may set fo	designate one or more officers, rth, for each person designated,			
ISSUING OFFICER'S SIGNATURE AND TITLE (INDICAL)  1SSUING OFFICER'S NAME, ADDRESS AND PHONE NO.			DATE 6/23/2006			
ISSUING OFFICER'S NAME, ADDRESS AND PHONE NO Salmaan Quader, Attorney for Defendants, h 312-595-1239						
	- 1 to 1 col (to 1 to					

(See Rule 45. Federal Rules of Civil Procedure, Parts C & D on next page)

<sup>&</sup>lt;sup>1</sup> If action is pending in district other than district of issuance, state district under case number

AO88 (Rev. 1/94) Subpoena in a Civil Ca	SC			
PROOF OF SERVICE				
	DATE	PLACE		
SERVED				
SERVED ON (PRINT NAME)	<del></del>	MANNER OF SERVICE		
SERVED BY (PRINT NAME)		TITLE	······································	
	DECL	ARATION OF SERVER		
I declare under penalty of point the Proof of Service is true		of the United States of America that the foregoing inform	nation contained	
Executed on				
	DATE	SIGNATURE OF SERVER		
		ADDRESS OF SERVER		

#### Rule 45, Federal Rules of Civil Procedure, Parts C & D:

#### (c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS

- (1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee
- (2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial
- (B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may, within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party serving the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an officer of a party from significant expense resulting from the inspection and copying commanded
- (3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it
  - (i) fails to allow reasonable time for compliance,
- (ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person. except that, subject to the provisions of clause (c) (3) (B) (iii) of this rule, such a person may in order to attend

trial be commanded to travel from any such place within the state in which the trial is held, or

- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
  - (iv) subjects a person to undue burden
  - (B) If a subpoena
- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in who behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions

#### (d) DUTIES IN RESPONDING TO SUBPOENA

- (1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand
- (2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the mmmdemanding party to contest the claim

# **EXHIBIT A**

#### ATTACHMENT A

Pursuant to Rule 45 of the Federal Rules of Civil Procedure, Defendant Chunghwa Picture Tubes, Ltd. ("CPT") will take the deposition upon oral examination of John T. Winburn at the offices of Howrey LLP located at 2020 Main Street Suite 1000, Irvine, CA 92614 at 9:00 a.m. on July 6, 2006, and continuing from day to day thereafter until completed. The deposition will be recorded stenographically and by video and is being taken for the purposes of discovery, for use at trial, and for such other purposes as permitted under the Federal Rules of Civil Procedure. To the extent that any documents and things requested by Defendant are confidential and proprietary, they are covered by the protective order entered in this case.

#### DEFINITIONS

- 1. "Alphasil" means Alphasil Inc. as well as its predecessors and successors in interest, subsidiaries, corporate parents, affiliates, assigns, joint venturers, partners, and all their present and former officers, directors, employees, partners, consultants, representatives, agents, and Persons acting or purporting to act on their behalf.
- 2. "Honeywell" means Honeywell International Inc. as well as its predecessors and successors in interest, subsidiaries, corporate parents, affiliates, assigns, joint venturers, partners, and all their present and former officers, directors, employees, partners, consultants, representatives, agents, and Persons acting or purporting to act on their behalf.
  - 3. "The '002 patent" refers to U.S. Patent No. 5,019,002.
- 4. "You," and "Your," refers to John T. Winburn, and Persons acting or purporting to act on your behalf.

5. "Documents" includes, but is not limited to, the following materials: any and all papers, documents, correspondence, letters, manuals, computer disks, data, photographs, videos, surveys, drawings, films, schematics, computer generated information, handwritten or typewritten notes, charts, graphs, publications, diagrams, journals, calendars, diaries, logs, log books, messages, reports, or any other papers or writings or communications or summaries thereof.

#### INSTRUCTIONS

- 1. See Rule 45 of the Federal Rules of Civil Procedure.
- 2. This subpoena calls for you to produce all documents that are described below that are known or available to you.
- 3. All documents that respond, in whole or in part, to any portion of this subpoena are to be produced in their entirety, without abbreviation or redaction, including all attachments or other matters affixed thereto. To the extent that these documents are maintained in both hard copy and magnetic media form, provide both forms.
- 4. All documents shall be produced either in the order and in the manner that they are kept in the usual course of business or shall be organized and labeled to correspond with categories of this subpoena. Whenever a document or group of documents is removed from a file folder, binder, file drawer, file box, notebook, or other container, a copy of the label or other means of identification of such cover or other container shall be attached to the document.
- 5. If any document requested has existed, but has been lost, destroyed, or is no longer within your possession, custody, or control, identify those documents and

Filed 06/26/2006

describe the document, its author(s), the recipient(s), or addressee(s), the subject matter, and content. Further, if the document has been destroyed, state with particularity the date and circumstance surrounding the reasons for its destruction, and identify the last known custodian of the document and each person or individual who has knowledge of the loss or destruction of any such document.

- 6. With respect to any documents otherwise responsive to this subpoena that, based on a claim of privilege or work product, you withhold or refuse to divulge: (a) state the name of the claim of privilege and the holder of the privilege; (b) state all facts relied upon in support of the privilege; (c) furnish a description of all documents withheld pursuant to the claim of privilege (i.e., the title, subject matter, date, author and person(s) for whom it was prepared, to whom it was sent or who otherwise received or saw the document or were aware of the substance of its contents); (d) identify all persons having knowledge of any facts relating to the claim of privilege. If the claim of privilege applies to only a portion of the document, produce all portions of the document to which the claim of privilege odes not apply.
- All duplicate or copies of documents are to be provided to the extent they 7 have any handwriting, additions, including any highlighting or underlining, or deletions of any kind difference from the original document being produced.
- If subsequent to the date you produce documents responsive to this 8. subpoena you discovery or receive additional documents that are responsive to the requests herein, promptly produce all such additional documents to the full extent required by the Federal Rules of Civil Procedure and the Local Rules of this District Court.

- 9. The following rules of construction apply to all discovery requests:
  - (a) Number. The use of the singular form of any word includes the plural and vice versa.
- 10. You shall testify concerning the matters set forth below.

#### **DEPOSITION TOPICS**

- 1. The subject matter of the documents requested to be produced in this notice of deposition and subpoena.
- 2. Preparation, filing and prosecution of the patent application that issued as the '002 patent.
- 3. Communications, written or verbal, concerning the patent application that issued as the '002 patent, including communications had in connection with the preparation, filing and prosecution of the '002 patent application with any employees or representatives of Alphasil, including Mr. Richard Flasck and/or Mr. Scott Holmberg, or with any employees or representatives of Honeywell.
- 4 Meetings, discussions or telephone conversations with any employee or representative of Alphasil, including without limitation Mr. Richard Flasck and/or Mr. Scott Holmberg, including any such meetings, telephone conversations or communications held or had in April or May 1988 concerning or relating to the alleged inventions that were applied for and issued as the '002 patent.
- 5. Meetings, discussions or telephone conversations with any employee or representative of Honeywell, including any such meetings or telephone conversations held in April or May 1988 concerning or relating to the alleged inventions that were applied for and issued as the '002 patent.
- Conception, subsequent development, diligence towards the reduction to 6. practice, and the reduction to practice of all alleged invention(s) described and claimed in the '002 patent, including successful or unsuccessful efforts to conceive or reduce to practice all alleged invention(s) described and claimed in the '002 patent.

- 7. Corroboration or lack of corroboration of any alleged conception, subsequent development, diligence towards the reduction to practice, and reduction to practice of all alleged invention(s) described and claimed in the '002 patent, including of successful or unsuccessful efforts to conceive or reduce to practice all alleged invention(s) described and claimed in the '002 patent.
- 8. Research and development leading to the conception and/or reduction to practice of the subject matter disclosed and claimed in the '002 patent.
- 9. Testing or analysis of any Alphasil substrates, including without limitation testing of Alphasil active matrix substrates for electrostatic discharge ("ESD") damage sensitivity performed in or about April 1988 by Honeywell, preparation of any reports or Honeywell inter-office memoranda or other documentation referring or relating to such testing or analysis, and/or meetings, discussions or communications with any representatives of Alphasil, Honeywell or any other person concerning, referring or relating to any such testing or analysis.
- 10. Sperry Corporation and Honeywell's business relationship with Alphasil, including without limitation:
  - a. Acquisition of any entities that invested or loaned money to Alphasil, any investment in, funding and/or loan(s) made to Alphasil and agreements relating thereto and any collateral, property or assets pledged by Alphasil as security for any such transactions, including without limitation the '002 patent.

- b. The facts and circumstances surrounding Honeywell's decision to withdraw funding or financial support for and/or to foreclose on collateral pledged by Alphasil.
- c. The facts and circumstances surrounding the acquisition of patent rights to the '002 patent by Honeywell from Alphasil.
- 11. Any search or investigation for prior art, including all patents and publications, conducted in connection with preparation, filing and prosecution of the patent application that issued as the '002 patent.
- Any prior art references to the inventions claimed in the '002 patent 12. known to You or the inventor during preparation, filing and prosecution of the patent application that issued as the '002 patent.
- Your document control, retention, or destruction policies, including any 13. electronic mail, electronic mail backup or electronic mail deletion policy from 1998 to the present.
- 14. Your role in representing and/or assisting Alphasil or any of its employees or personnel file any patent applications, including without limitation the patent application that issued as the '002 patent and any prior art searches conducted by you or anyone acting on your or the inventor's behalf.
- Funding and/or loans made to Alphasil and Alphasil's research and 15. development efforts and commercialization or efforts to commercialize any products and any business or product development plans of Alphasil, including without limitation the success of any such efforts to commercialize products and the percentage yield of any of Alphasil's manufacturing processes for fabricating substrates, including active matrix

substrates, and the cause of any yield problems or results and the relative yield loss due to ESD rather than other potential causes or factors.

16. The events and circumstances surrounding the abandonment of the '002 patent and reinstatement of that patent by the Patent Office.

#### **DOCUMENTS AND THINGS TO BE PRODUCED**

- 1. All documents and things relating to any of the deposition topics listed above.
- 2. All documents relating or referring to the preparation, filing and prosecution of the patent application that issued as the '002 patent, including without limitation all invoices and billing records.
- 3. All documents relating or referring to communications, written or verbal, concerning the patent application that issued as the '002 patent, including communications had in connection with the preparation, filing and prosecution of the '002 patent application with any employees or representatives of Honeywell or Alphasil, including Mr. Richard Flask and/or Mr. Scott Holmberg.
- 4. All documents relating or referring to conception, subsequent development, diligence towards the reduction to practice, and the reduction to practice of all alleged invention(s) described and claimed in the '002 patent, including successful or unsuccessful efforts to conceive or reduce to practice all alleged invention(s) described and claimed in the '002 patent.
- 5. All documents relating or referring to research and development leading to the conception and/or reduction to practice of the subject matter disclosed and claimed in the '002 patent.

- All documents relating or referring to any search or investigation for prior art, including all patents and publications, conducted in connection with preparation, filing and prosecution of the patent application that issued as the '002 patent.
- 7. A privilege log for any documents or things that are responsive to any of these requests but have not been produced.
- All documents and things relating or referring to Your document control, retention, or destruction policies, including any electronic mail, electronic mail backup or electronic mail deletion policy from 1998 to the present.

#### IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

#### CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on June 26, 2006, I electronically filed the foregoing document with the Clerk of Court using CM/ECF, which will send notification of such filing to, and also hand delivered same to:

> Richard D. Kirk Morris James Hitchens & Williams LLP 222 Delaware Avenue, Suite 900 Wilmington, DE 19899

I HEREBY CERTIFY that on June 26, 2006, I sent the foregoing document by Electronic Mail to the following non-registered participants:

> Gaspare J. Bono Matthew T. Bailey Andrew J. Park Adrian Mollo McKenna Long & Aldrige LLP 1900 K Street, NW Washington, DC 20006

> > Matthew W. King (#4566)

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